

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT				1. Contract ID Code		Page of Pages	
2. AMENDMENT MODIFICATION NO.		3. EFFECTIVE DATE		4. REQUISITION/PURCHASE REQ. NO.		5. PROJECT NO. (if applicable)	
6. ISSUED BY CODE				7. ADMINISTERED BY (If other than item 6) CODE			
8. NAME AND ADDRESS OF CONTRACTORY (NO., Street, Country, State and ZIP Code)				(x)		9A. AMENDMENT OF SOLICITATION NO.	
						9B. DATED (SEE ITEM 11)	
						10A. MODIFICATION OF CONTRACT/ORDER NO.	
						10B. DATED (SEE ITEM 13)	
CODE		FACILITY CODE					
11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS							
<input type="checkbox"/> The above numbered solicitation is amended as set forth in item 14. The hour and date specified for receipt of offers <input type="checkbox"/> is extended, <input type="checkbox"/> is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing items 8 and 15, and returning _____ copies of amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OR OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment your desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.							
12. ACCOUNTING AND APPROPRIATION DATA (If required)							
13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.							
Check One	A. THIS ORDER ID ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.						
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).						
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:						
	D. OTHER (Specify type of modification and authority)						
E. IMPORTANT: Contractor <input type="checkbox"/> is not, <input type="checkbox"/> is required to sign this document and return _____ copies to the issuing office.							
14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible)							
<small>Except as provided herein, all terms and conditions of the document referenced in item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.</small>							
15A. NAME AND TITLE OF SIGNER (Type or print)				16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print)			
15B. CONTRACTOR/OFFEROR		15C. DATE SIGNED		16B. UNITED STATES OF AMERICA		16C. DATE SIGNED	
_____ (Signature of person authorized to sign)				_____ (Signature of Contracting Officer)			

Amendment 0001

I. RFP Corrections for Solicitation Number ED-IES-11-R-0014

1) On page i, Table of Contents, is revised to read as follows:

SPECIAL EDUCATION

REVISED FAST-TRACK TABLE OF CONTENTS

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I. Program Overview	1
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III. Contract Proposal Preparation Instructions and Requirements	9
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2) On pages 13-14, Section III. F.1.d., “*Similar products or typical practices, and potential commercial application*”. Is revised to read as follows:

“Describe significant R/R&D that is directly related to the proposal including any conducted by the project manager/principal investigator or by the proposing SBC. Describe how it relates to the proposed effort, and any planned coordination with outside sources. The offerors should demonstrate their awareness of key, recent R/R&D conducted by others in the specific topic area.”

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3) On Page 17, Section III.F.6., “Related R/R&D”. Delete current paragraph and replace with the following:

“Describe any significant R/R&D that is directly related to the proposal including any conducted by the project manager/principal investigator or by the proposing small business concern. Describe how it relates to the proposed effort, and any planned coordination with outside sources. The offeror must persuade reviewers of his or her awareness of key, recent R/R&D conducted by others in the specific topic area.”

3) On page 23, Section IV. D. 1. “Significance (20 points)”. Delete the following bullet:

- Did the offeror’s technical approach demonstrate the anticipated agency and commercial benefits that may be derived from the research?

4) On Page 24, Section IV. D.3. “Commercialization Plan (20 points)”. Delete the following bullets:

- Does the Offeror’s proposal demonstrate:
 - (A) the SBC’s record of commercializing SBIR or other research,
 - (B) the existence of second phase funding commitments from private sector or non-SBIR funding sources,
 - (C) the existence of third phase follow-on commitments for the subject of the research, and,
 - (D) the presence of other indicators of the commercial potential of the idea.

5) On Page 24, Section IV. D.3. “Commercialization Plan (20 points)”. Add the following bullet:

- In addition to the above, the evaluation also considers a proposal’s commercial potential as evidenced by the small business concern’s record of commercializing SBIR or other research.

6) On page 30, Section VI. A. Replace “ADD IN GENERAL CAM PA NUMBER” with the following telephone number:

(202) 245-6289

7) On page 31, Section VI. A. Delete the incorrect due date of January 14, 2011

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and replace with the following corrected due date:

“The due date and time for the receipt of proposals is 11 A.M. EST on January 20, 2011.”

8) On page 50, delete clause F.5 310-9 Approval of Accounting System.

II. RFP Questions & Responses for Solicitation Number ED-IES-11-R-0014

1. We noticed in the requirements that for Phase I: “The total of all consultant fees, facility leases or usage fees, and other subcontracts or purchase agreements may not exceed one-half of total contract price”. Our question is this –we are a very small company and all of our production work is subcontracted programmers. We do not have programmers on staff . When we have a project that we need programmers for, we contract them only for the length of the project, that is the way we work on the development of our products. We do not have in-house programmers, since it would be such a short-term employment. How does this affect our eligibility?

RESPONSE: All small businesses must conform to the requirements set forth by the solicitation. Please note the total of all consultant fees, facility leases or usage fees, and other subcontracts or purchase agreements may not exceed one-third of total contract price in Phase I, and one-half of the contract price in Phase II.

2. We are wondering if we fit the regular education grant or the special education grant. Our idea is to develop a product to be more suitable for the High School Environment focusing on the incorporation of cognitive software product into Alternative Education programs . Do you consider Alternative Education programs special ed or regular ed?

RESPONSE: Offeror’s must carefully review the priority areas listed within the solicitations and make the determination as to which is most appropriate.

3. We also are considering a Co-PI situation with our President /COO and myself as the head of Science/Research. We can see an advantage of the Co-PI because we are both developing the new science, researching the impact and planning the marketing strategy. We would both be involved anyway, so is it a stronger proposal by designating us both as the PI?

RESPONSE: As is detailed in the solicitation, a proposal shall only list one PI. Other than what is detailed in the solicitation no further guidance can be provided.

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4. Where are most mistakes made with the proposals that don't get funded ? What do we need to pay particular attention to?

RESPONSE: No guidance can be provided to this question.

5. Is single spacing to be used between paragraphs and section headings, such that there is no allowance for double-spacing anywhere, for ease of readability? Our company plans to submit a proposal for the January 20th SBIR submission deadline. I have a question with regards to letters of participation to be included in the proposal. We were planning to work with more than one early intervention or special education delivery setting to participate in our Phase II research to test the feasibility and usability of our product. Are we allowed to include more than one letter of agreement to participate in the Phase II R&D?

RESPONSE: See pages 13-14 of the solicitation.

6. Do these programs require DCAA compliance (Defense Contract Audit Agency - <http://www.dcaa.mil/>)?

RESPONSE: No information in the solicitation is available to address this question.

7. We are planning on submitting proposals to both the special education and general education programs. The proposals are for enhancements to a current product. They are quite different in content. They do however share some equivalent work. Is this permissible? If so, how can we handle this and stay within the guidelines.

RESPONSE: ED will not provide funds to two different projects for equivalent work under any circumstances. Offerors who submit proposals in response to both the special education and general education solicitations must ensure that the R&D is different.

8. We really would like to be certain we have the formatting correct for Fast Track. Please let us know if you can provide us with an example Fast Track Proposal for formatting purposes.

RESPONSE: See pages 13-14 of the solicitation.

9. **Is the deadline for receipt of applications actually January 20, 2011?**

RESPONSE: Yes, see #7 under Section I.

10. **Is this for special education proposals (in addition to early interventions)?**

The reason I ask: Although in most places the RFP says it is for special education and early interventions, on page 30 it says:

NOTE: A separate SBIR solicitation pertaining to funding for products in the area of special education is available at: www.fbo.gov. Proposals for products specifically for

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infants, toddlers, or K-12 students in special education, or for teachers or service providers in special educations should be submitted to the special education solicitation.

RESPONSE: The solicitation is for special education or early intervention proposals.

11. I am writing to confirm the closing date for IES/NCSE's recently announced SBIR competition -- RFP Number: ED-IES-11-R-0014. Most information, including the www.fbo.gov page and several places in the RFA itself, list that closing date as **January 20, 2011**. On page 31 of the RFA, however, the closing date is listed as January 14. Am I correct in assuming that the latter is a typo, and that the actual closing date is January 20?

RESPONSE: Yes, see the answer to question number nine above.

12. It appears to have conflicting dates for when the proposal must be submitted. could you clarify which date we must have our proposal in?

RESPONSE: Yes, see the answer to question number nine above.

13. I wanted to confirm the application deadline for the above program. The application deadline listed on page 33 of the full announcement is January 20, 2011, and the application deadline listed on page 31 is January 14, 2011. I didn't know if this was simply a typo or if perhaps there was a two-step submission process.

RESPONSE: Yes, see the answer to question number nine above.

14. 1) I noticed a question about whether or not my company has "an approved accounting system for purposes of cost reimbursement under this requirement". Is this a requirement to win an SBIR? If not, and my company wins an SBIR award, will we have to go through some sort of auditing process before receiving payments? If so, how long does the process normally take? Where could I find more information on this?

RESPONSE: This is a firm fixed price contract, not a cost reimbursement contract. This clause is removed, see #8 under Section I.

15. I saw in section K. 1 52.204-10 Reporting Executive Compensation and First-Tier Subcontract Awards, is this required for small (2 or 3-person) startup companies?

RESPONSE: Yes, effective July 8, 2010 in the Federal Register Vol. 75, No. 130.

16. I understand that I need to enroll in ORCA and get a DUNS number before submitting the proposal, and I must enroll in E-Verify within 30 days of contract award. Are there

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any other registration / reporting requirements that we would have to complete prior to receiving payment?

RESPONSE: All contractors doing business with the Federal Government must be registered in the Central Contractor Registration, www.ccr.gov. Additionally, please consult the Small Business Administration for guidance.

17. Would there be anything related to the contracting requirements (and not the technical requirements) that would preclude or make it unlikely for a startup company (as opposed to an established company already doing work) to win an SBIR?

RESPONSE: No

18. 1. We propose to use a mixed method approach where we will collect both quantitative and qualitative data to determine in what ways the teacher training supported successful implementation of PEACH and in what ways it failed to. We would use the Kirkpatrick Four Level Training Evaluation Model as our evaluation methodology. Is this in line with the Department's priorities around methodology and methods?

RESPONSE: Other than what is detailed in the solicitation no further guidance can be provided.

19. What is the ideal sample size for testing the feasibility of the prototype in phase I and for a comparison of face to face versus online in phase II? In phase II, we would want to randomly assign teachers to either the face-to-face training condition or to the online + community of practice training condition.

RESPONSE: Other than what is detailed in the solicitation no further guidance can be provided.

20. What child level outcomes is the Department of Education Special Education Program interested in measuring? Is collecting child level outcome data a priority under the Fast Track SBIR? If so, is there literature you can point us to that would indicate what child level outcomes are of interest to the Department? Are their considerations in addition to IRB regulations that the Department of Education adheres to when dealing with a very vulnerable group of children?

RESPONSE: Other than what is detailed in the solicitation no further guidance can be provided.

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21. Are there teacher level outcomes for Head Start teachers the Department is particularly interested in measuring?

RESPONSE: Other than what is detailed in the solicitation no further guidance can be provided.